

1497 U.S. PTO

**Utility
Patent Application
Transmittal**

Attorney Docket No.: S-16

First Inventor: David C. Hovda

Title: Methods for Visualizing and Treating Intervertebral Discs

Express Mail Label No.: ER 164700771 US

APPLICATION ELEMENTS

ADDRESS TO:

Mail Stop Patent Application
Box 1450
Alexandria, VA 22313-1450

1497 U.S. PTO
10/735477

1. ☒ Fee Transmittal Form (e.g. PTO/SB/17)
2. ☐ Applicant claims small entity status.
3. ☒ Specification - Total Pages 25
 - Descriptive title of the invention
 - Cross Reference to Related Applications
 - Background of the Invention
 - Brief Summary of the Invention
 - Brief Description of the Drawings
 - Detailed Description
 - Claims
 - Abstract of the Disclosure

7. ☐ CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)

8. ☐ Nucleotide and/or Amino Acid Sequence Submission

- a. ☐ Computer Readable Form
- b. ☐ Specification Sequence Listing on:
 - i. ☐ CD-ROM or CD-R or
 - ii. ☐ paper
- c. ☐ Statements verifying identity of above copies

4. ☒ Drawings - Total Sheet 6
5. ☒ Oath or Declaration - Total Pages 1
 - a. ☒ Newly executed (original or copy)
 - b. ☐ Copy from a prior application (37 CFR 1.63(d))
 - i. ☐ Deletion of Inventors
6. ☒ Application Data Sheet

ACCOMPANYING APPLICATION PARTS

9. ☐ Assignment Papers (cover sheet/documents)
10. ☐ 37 CFR 3.73(b) Statement ☐ Power of Attorney
11. ☐ English Translation Document
12. ☐ Information Disclosure Statement (PTO-1449)
13. ☐ Preliminary Amendment
14. ☒ Return Receipt Postcard
15. ☐ Certified Copy of Priority Document
16. ☐ Request and Certification under 35 USC 122(b)(2)(B)(I)
17. ☐ Other _____

18. ☐ If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76.

☐ Continuation ☐ Divisional ☐ Continuation-in-Part (CIP)

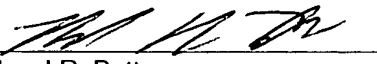
of prior application No.: 60/433,250

Prior application information: Examiner: Unassigned Group Art Unit: Unassigned

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5B is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

19. Correspondence Address:

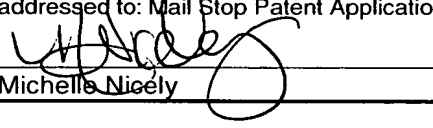
Customer Number 021394


Richard R. Batt
Reg. 43,485

December 10, 2003
Date

CERTIFICATE OF MAILING

I hereby certify that this is being deposited with the United States Postal Service "Express Mail Post Office To Addressee" service under 37 CFR 1.10 on the date indicated below, Express Mail Label No. ER 164700771 US and is addressed to: Mail Stop Patent Application, PO Box 1450, Alexandria, VA 22313-1450


Michelle Nicely

Dec. 11, 2003
Date

FEE TRANSMITTAL for FY 2003

Application No.: Not yet assigned

Filing Date: Herewith

First Named Inventor: David C. Hovda

Examiner Name: Not yet assigned

Group Art Unit: Not yet assigned

☐ Applicant claims small entity status. 37 CFR 1.27

Attorney Docket No.: S-16

TOTAL AMOUNT OF PAYMENT \$858

FEE CALCULATION (continued)

METHOD OF PAYMENT

The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account No. 50-0359
ArthroCare Corporation

FEE CALCULATION

1. BASIC FILING FEE

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
101 750	201 375	Utility filing fee	
106 330	206 165	Design filing fee	
107 520	207 260	Plant filing fee	
108 750	208 375	Reissue filing fee	
114 160	214 80	Provisional filing fee	

SUBTOTAL (1) \$750

2. ☐ EXTRA CLAIM FEES

Total Claims	Extra Claims	Fee from below	Fee Paid
26	6	18	108
Independent 1	3	0	
Claims			
Multiple Dependent		0	0

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
1202 18	2202 9	Claims in excess of 20	
1201 84	2201 42	Independent claims in excess of 3	
1203 280	2203 140	Multiple dep. Claim	
1204 84	2204 42	**Reissue indp. over orig.	
1205 18	2205 9	**Reissue clms over 20	

SUBTOTAL (2) \$108

***or number previously paid, if greater; For Reissues, see above*

3. ADDITIONAL FEES

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
1051 130	2051 65	Surcharge – late filing fee or oath	
1052 50	2052 25	Surcharge – late provisional filing fee or cover sheet	
1053 130	1053 130	Non-English specification	
1812 2,520	1812 2,520	Request for <i>ex parte</i> reexamination	
1804 920*	1804 920*	Requesting publication of SIR prior to Examiner Action	
1805 1,840	1805 1,840*	Requesting publication of SIR after Examiner Action	
1251 110	2251 55	Extension for reply within 1 st month	
1252 400	2252 200	Extension for reply within 2 nd month	
1253 930	2253 465	Extension for reply within 3 rd month	
1254 1,450	2254 725	Extension for reply within 4 th month	
1255 1,960	2255 985	Extension for reply within 5 th month	
1401 320	2401 160	Notice of Appeal	
1402 320	2402 160	Filing a brief in support of an appeal	
1403 280	2403 140	Request for oral hearing	
1451 1,510	1451 1,510	Petition to institute a public use proceeding	
1452 110	2452 55	Petition to revive - unavoidable	
1453 1,300	2453 650	Petition to revive – unintentional	
1501 1,300	2501 650	Utility issue fee (or reissue)	
1502 470	2502 235	Design issue fee	
1503 630	2503 315	Plant issue fee	
1460 130	1460 130	Petitions to the Commissioner	
1807 50	1807 50	Processing fee under 37 CFR1.17(q)	
1806 180	1806 180	Submission of IDS	
8021 40	8021 40	Recording assignment per property	
1809 750	2809 375	Filing a submission after final	
1810 750	2810 375	For each additional invention to be examined	
1801 750	2801 375	Request for Continued Examination	
1802 900	1802 900	Request for expedited examination of a design application	

Other fee: _____

SUBTOTAL (3) \$0

**Reduced by Basic Filing Fee Paid*

SUBMITTED BY:

Richard Batt
Reg. 43,485
Ph: (408) 736-0224

Date

December 11, 2003